

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

CASE NO. CA05-10729

Securities Exchange Commission
PLAINTIFF

Amit Mathur et al
DEFENDANT

Daniel O'Connor

Gary Crossen

Steven Quintero

John Sten

Risa King

COUNSEL FOR PLAINTIFF

COUNSEL FOR DEFENDANT

JUDGE WolfCLERK O'Leary

REPORTER Fowlkes

CLERK'S NOTES

[illegible]